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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appn. No. 10/525,299

Confirmation No. 5994

Filed: February 14, 2005

Applicants: Kraus et al.

Title: **USE OF BLOCK
COPOLYMERS AS
DISPERSANTS FOR
AQUEOUS SUSPENSIONS
OF SOLIDS**

Art Unit: 1751

Examiner: Not Yet Assigned

Docket No.: 5942/85017

Customer No.: 22242

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Mail Stop **AMENDMENT**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this date.

9/14/05 Kenneth H. Samples
Date Registration No. 25,747
Attorney for Applicant(s)

Mail Stop **AMENDMENT**
Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 and 1.98, Applicants and the undersigned attorney bring the following information with respect to the above-identified application to the attention of the Examiner.

Filed: February 14, 2005

INFORMATION DISCLOSURE STATEMENT

**U.S. PATENT DOCUMENTS**

| Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|-------------------------------|--------------------------------|----------------------------------------------------|
| Number-Kind Code ² | | |
| US-3,932,369 A | 01/13/1976 | Sartori et al. |
| US-4,029,720 A | 06/14/1977 | Seiler et al. |
| US-4,927,961 A | 05/22/1990 | Fock et al. |
| US-5,177,151 A | 01/05/1993 | Yu |
| US-5,484,851 A | 01/16/1996 | Fock |
| US-5,707,445 A | 01/13/1998 | Fujita et al. |
| US-5,763,548 A | 06/09/1998 | Matyjaszewski et al. |
| US-6,248,839 B1 | 06/19/2001 | Knebelkamp et al. |

FOREIGN PATENT DOCUMENTS

| Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|------------------------------------------------------------------------|--------------------------------|----------------------------------------------------|
| Country Code ³ -Number ⁴ -Kind Code ⁵ | | |
| DE 1 671 017 | 09/02/1971 | Süddeutsche Kalkstickstoff-Werke AG |
| EP 0 214 412 A1 | 03/18/1987 | Chemie Linz Aktiengesellschaft |
| EP 0 306 449 A2 | 03/08/1989 | Sandoz-Patent GmbH |
| EP 0 870 781 A1 | 10/14/1998 | BASF Aktiengesellschaft |
| EP 0 979 844 A2 | 02/16/2000 | Th. Goldschmidt AG |
| EP 1 110 981 A2 | 06/27/2001 | Kao Corporation |
| WO 01/51534 A1 | 07/19/2001 | CIBA Specialty Chemicals Holdings Inc. |
| EP 1 142 847 A2 | 10/10/2001 | Nippon Shokubai Co., Ltd. |

NON PATENT LITERATURE DOCUMENTS

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

UCHIKAWA, H., HANEHARA, S. and SAWAKI, D., The Role of Steric Repulsive Force in the Dispersion of Cement Particles in Fresh Paste Prepared With Organic Admixture, Cement and Concrete Research, Vol. 27, No. 1, pages 37-50, 1997.

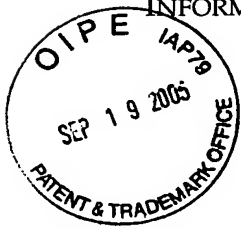
YOSHIOKA, KAZUHIRO, Role of Steric Hindrance in the Performance of Superplasticizers for Concrete, J. Am. Ceramic Society, 80 [10] pages 2667-71 (1997.)

Application No. 10/525,299

Filed: February 14, 2005

Attorney Docket No. 85017

INFORMATION DISCLOSURE STATEMENT



REMARKS

The above documents are listed on Form PTO/SB/08a Substitute for Form 1449A/PTO, which accompanies this Information Disclosure Statement.

Pursuant to 37 C.F.R. § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The Commissioner is hereby authorized to charge any additional fees which may be required with respect to this communication, or credit any overpayment, to Deposit Account No. 06-1135.

Respectfully submitted,

FITCH, EVEN, TABIN & FLANNERY

A handwritten signature in cursive script, appearing to read "Kenneth H. Samples".

Kenneth H. Samples
Registration No. 25,747

Dated: 9/16/05

120 South LaSalle Street
Suite 1600
Chicago, Illinois 60603-3406
Telephone: (312) 577-7000
Facsimile: (312) 577-7007



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|---------------------------------------------------------------------------------------------------|--------|----------------------|-------------------|
| PTO/SB/08a Substitute for Form 1449A/PTO | | Application Number | 10/525,299 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | Filing Date | February 14, 2005 |
| | | First Named Inventor | ALEXANDER KRAUS |
| | | Art Unit | 1751 |
| | | Examiner Name | Not Yet Assigned |
| Sheet | 1 of 3 | Attorney Docket | 85017 |

| U.S. PATENT DOCUMENTS | | | | | |
|---------------------------|--------------------------|-------------------------------|--------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------|
| Examine r Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number-Kind Code ² | | | |
| | | US-3,932,369 A | 01/13/1976 | Sartori et al. | |
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| | | US-4,927,961 A | 05/22/1990 | Fock et al. | |
| | | US-5,177,151 A | 01/05/1993 | Yu | |
| | | US-5,484,851 A | 01/16/1996 | Fock | |
| | | US-5,707,445 A | 01/13/1998 | Fujita et al. | |
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| | | US- | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | |
|---------------------------|--------------------------|------------------------------------------------------------------------|--------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------|----------------|
| Examine r Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | | Country Code ³ -Number ⁴ -Kind Code ⁵ | | | | |
| | | DE 1 671 017 | 09/02/1971 | Süddeutsche Kalkstickstoff-Werke | | ✓ |
| | | EP 0 214 412 A1 | 03/18/1987 | Chemie Linz | | ✓ |
| | | EP 0 306 449 A2 | 03/08/1989 | Sandoz-Patent GmbH | | ✓ |
| | | EP 0 870 781 A1 | 10/14/1998 | BASF | | ✓ |
| | | EP 0 979 844 A2 | 02/16/2000 | Th. Goldschmidt AG | | ✓ |
| | | EP 1 110 981 A2 | 06/27/2001 | Kao Corporation | | ✓ |
| | | WO 01/51534 A1 | 07/19/2001 | CIBA Specialty | | |
| | | EP 1 142 847 A2 | 10/10/2001 | Nippon Shokubai Co., | | |

| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

